

# *HPC in Statistical Theories of Nuclear Reactions*

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## Overview

- KKM theory overview
- Numerical Tests of KKM central result on 1 CPU
- Parallelized eigensolver, matrix-multiplication, and disk I/O (Ken Roche) for numerical exploration of:
  - doorway state formalism
  - FKK theory of multistep pre-equilibrium reactions
  - Kerman-Sevgen theory, etc.

Feshbach's Projection Formalism (1962) ←  $P + Q = 1$

FKL (1967) ← Intermediate (Doorway) Structure:  
 $P \rightarrow p + D$  D e.g. IAR

Direct channel coupling →  
Optical Background Rprsntn.

**KKM** (1973)

Two-step reactions; surrogates  
e.g.  $A(p, \gamma)B^*$ ;  $B^* \rightarrow B + p'$

KM (1979)

FKK (1980)

$P \rightarrow P_1 + P_2 + P_3 + \dots$   
 $Q \rightarrow Q_1 + Q_2 + Q_3 + \dots$

# Theory Summary

$$T_{cc'} = T_{cc'}^{(0)} + \frac{1}{2\pi} \sum_{\hat{q}} \frac{\hat{g}_{cq} \hat{g}_{c'q}}{E - \hat{\mathcal{E}}_q} \quad \hat{g}_{cq} \equiv \sqrt{2\pi} \langle \chi_c^{(-)} | H_{PQ} | \hat{q} \rangle$$

$$T_{cc'} = T_{cc'}^{\text{opt}} + \frac{1}{2\pi} \sum_q \frac{g_{cq} g_{c'q}}{E - \mathcal{E}_q} \quad g_{cq} \equiv \sqrt{2\pi} \langle \bar{\Psi}_c^{(-)} | V_{PQ} | q \rangle$$

**KKM**

$$\overline{\sigma}_{cc'}^{\text{fl}} \sim X_{cc} X_{c'c'} + X_{cc'} X_{c'c} \quad X_{cc'} = \langle g_{cq} g_{c'q}^* \rangle_q$$

$$\overline{\sigma}_{cc'}^{\text{fl}} \sim \frac{1}{\sum P_{c''}} \{ P_{cc} P_{c'c''} + P_{cc'} P_{c'c''} + \dots \} \quad P_{cc'} = (1 - \overline{SS}^*)_{cc'} = X_{cc'} \text{Tr}(X) + (X^2)_{cc'}$$

$$\overline{\sigma}_{Rc}^{\text{fl}} \sim X_{RR} X_{cc} + X_{Rc} X_{cR} \quad X_{Rc} = \langle \mathcal{M}_{Rq} g_{cq} \rangle_q$$

**KM**

$$\mathcal{M}_{Rq} = M_R G_{\text{opt}} V_{Pq}$$

# Projection operators

$$H\Psi = E\Psi$$

P – continuum space projection operator  
Q – compound space projection operator

$$P + Q = 1 ; \quad P \cdot Q = 0 \quad P^2 = P \quad H_{PQ} \equiv PHQ$$

$$(E - H_{PP})P\Psi = H_{PQ}Q\Psi$$

$$(E - H_{QQ})Q\Psi = H_{QP}P\Psi$$

$$(E - H_{PP})\chi = 0$$

$$\Rightarrow P\Psi = \chi + \frac{1}{E - H_{PP}} H_{PQ} Q\Psi$$

$$\Rightarrow T = T^{(0)} + \langle \chi | H_{PQ} | Q\Psi \rangle$$

$$(E - H_{QQ} - H_{QP} \frac{1}{E - H_{PP}} H_{PQ}) Q\Psi = H_{QP} \chi$$

$$\Rightarrow T = T^{(0)} + \langle \chi | H_{PQ} \frac{1}{E - H_{QQ} - H_{QP} \frac{1}{E - H_{PP}} H_{PQ}} H_{QP} | \chi \rangle$$

# Projection operators cont'd.

$$T = T^{(0)} + \langle \chi | H_{PQ} \frac{1}{E - H_{QQ} - H_{QP} G_P H_{PQ}} H_{QP} | \chi \rangle$$

$$\begin{aligned} [H_{QQ} + H_{QP} G_P H_{PQ}] | \hat{q} \rangle &= \hat{\mathcal{E}}_q | \hat{q} \rangle \\ \langle \tilde{q} | [H_{QQ} + H_{QP} G_P H_{PQ}] &= \langle \tilde{q} | \hat{\mathcal{E}}_q \end{aligned}$$

$$\hat{\mathcal{E}}_q = \hat{E}_q - i \frac{\hat{\Gamma}_q}{2}$$

$$\sum_{\hat{q}} | \hat{q} \rangle \langle \tilde{q} | = 1$$

$$\langle \tilde{q} | \hat{q}' \rangle = \delta_{\hat{q}\hat{q}'}$$

$$H_{QQ} | Q_j \rangle = E_{Q_j} | Q_j \rangle$$

$$\sum_j | Q_j \rangle \langle Q_j | = 1$$

$$\langle Q_j | Q_j \rangle = \delta_{ij}$$

$$T_{cc'} = T_{cc'}^{(0)} + \sum_{\hat{q}} \langle \chi_c | H_{PQ} | \hat{q} \rangle \frac{1}{E - \hat{\mathcal{E}}_q} \langle \tilde{q} | H_{QP} | \chi_{c'} \rangle$$

$$T_{cc'} = T_{cc'}^{(0)} + \frac{1}{2\pi} \sum_{\hat{q}} \frac{\hat{g}_{cq} \hat{g}_{c'q}}{E - \hat{\mathcal{E}}_q}$$

## Two-potential formula:

Kawai, Kerman, and McVoy  
Ann. of Phys. 75, 156 (1973)

$$T = T^{\text{opt}} + \left\langle \overline{P\Psi} \left| V_{PQ} \frac{1}{E - H_{QQ} - V_{QP} G_{\text{opt}} V_{PQ}} V_{QP} \right| \overline{P\Psi} \right\rangle$$

$$\begin{aligned} \left[ H_{QQ} + V_{QP} G_{\text{opt}} V_{PQ} \right] |q\rangle &= \boldsymbol{\varepsilon}_q |q\rangle \\ \langle \tilde{q} | \left[ H_{QQ} + V_{QP} G_{\text{opt}} V_{PQ} \right] &= \langle \tilde{q} | \boldsymbol{\varepsilon}_q \end{aligned}$$

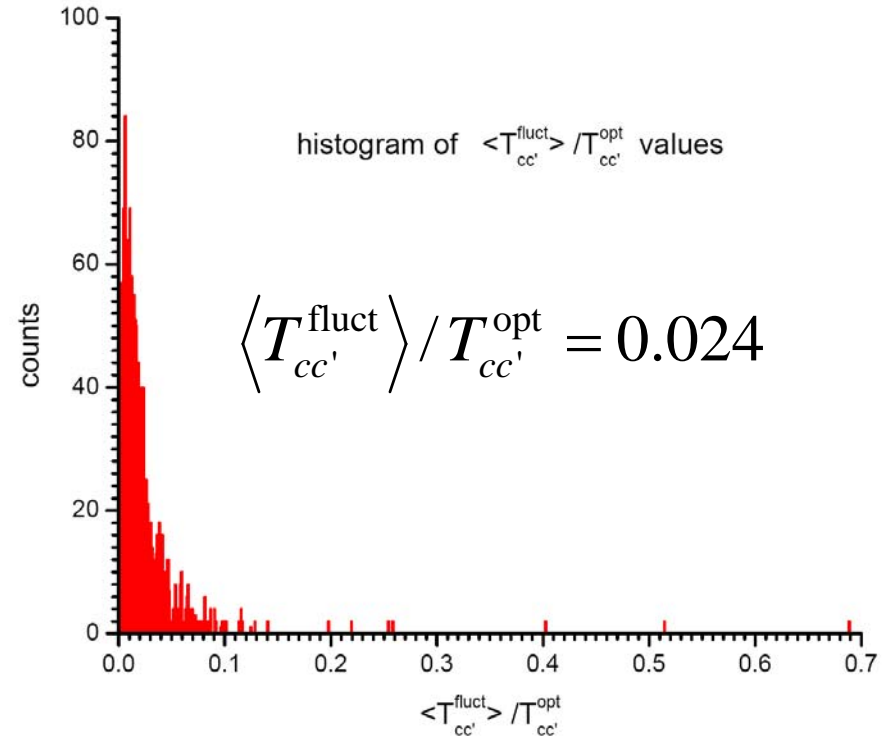
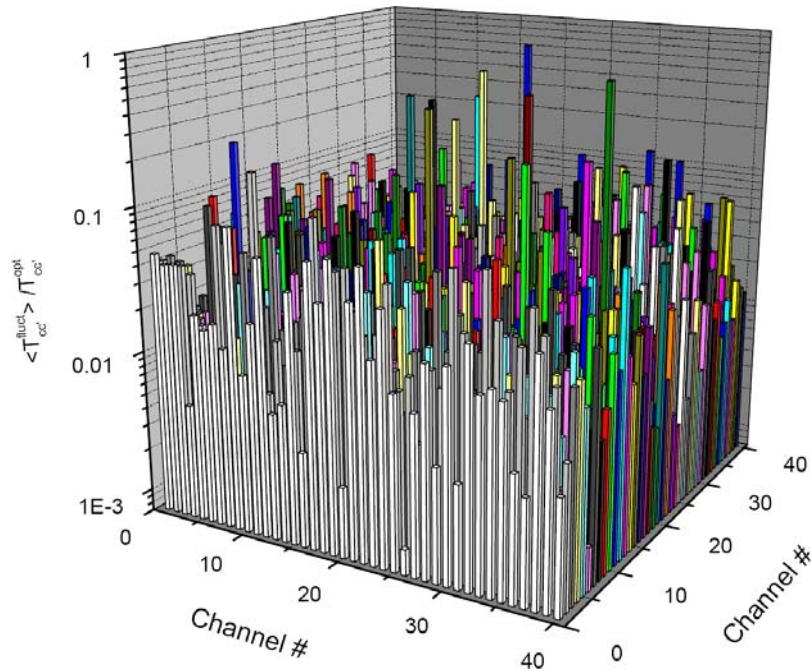
$$\begin{aligned} \boldsymbol{\varepsilon}_q &= E_q + i \frac{\Gamma_q}{2} & H_{QQ} |Q_j\rangle &= E_{Q_j} |Q_j\rangle \\ \sum_{\hat{q}} |q\rangle \langle \tilde{q} | &= 1 & \sum_j |Q_j\rangle \langle Q_j| &= 1 \\ \langle \tilde{q} | q' \rangle &= \delta_{qq'} & \langle Q_j | Q_j \rangle &= \delta_{ij} \\ |q\rangle &= \sum_j \langle Q_j | q \rangle |Q_j\rangle \end{aligned}$$

$$T_{cc'} = T_{cc'}^{\text{opt}} + \sum_q \left\langle \overline{P\Psi}_c \left| V_{PQ} \right| \hat{q} \right\rangle \frac{1}{E - \boldsymbol{\varepsilon}_q} \left\langle \tilde{q} \left| V_{QP} \right| \overline{P\Psi}_{c'} \right\rangle \quad V_{PQ} = H_{PQ} \sqrt{\frac{iI}{E - H_{QQ} + iI}}$$

$$T_{cc'} = T_{cc'}^{\text{opt}} + T_{cc'}^{\text{fluct}}, \quad T_{cc'}^{\text{fluct}} \equiv \frac{1}{2\pi} \sum_q \frac{g_{cq} g_{c'q}}{E - \boldsymbol{\varepsilon}_q} \Rightarrow \langle T_{cc'}^{\text{fluct}} \rangle \ll T_{cc'}^{\text{opt}} \quad \text{because} \quad \langle T_{cc'} \rangle \cong T_{cc'}^{\text{opt}}$$

Verify numerically for  
Gaussian random coupling  $H_{PQ}$ .

# Numerical Test of $\langle T_{cc'}^{\text{fluct}} \rangle / T_{cc'}^{\text{opt}} \ll 1$



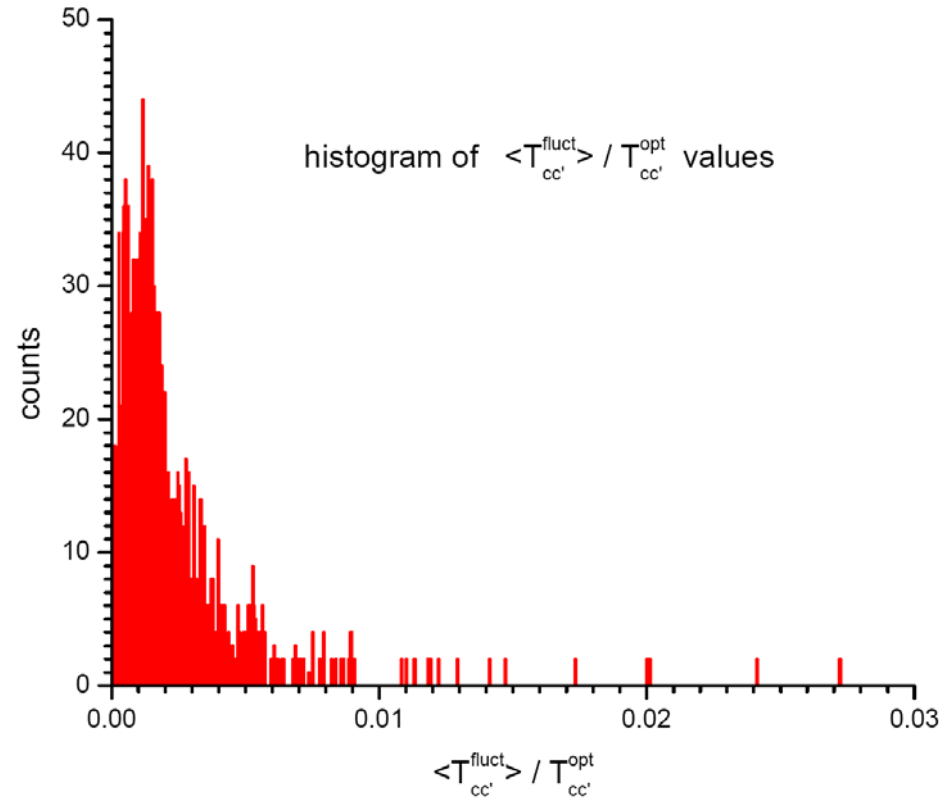
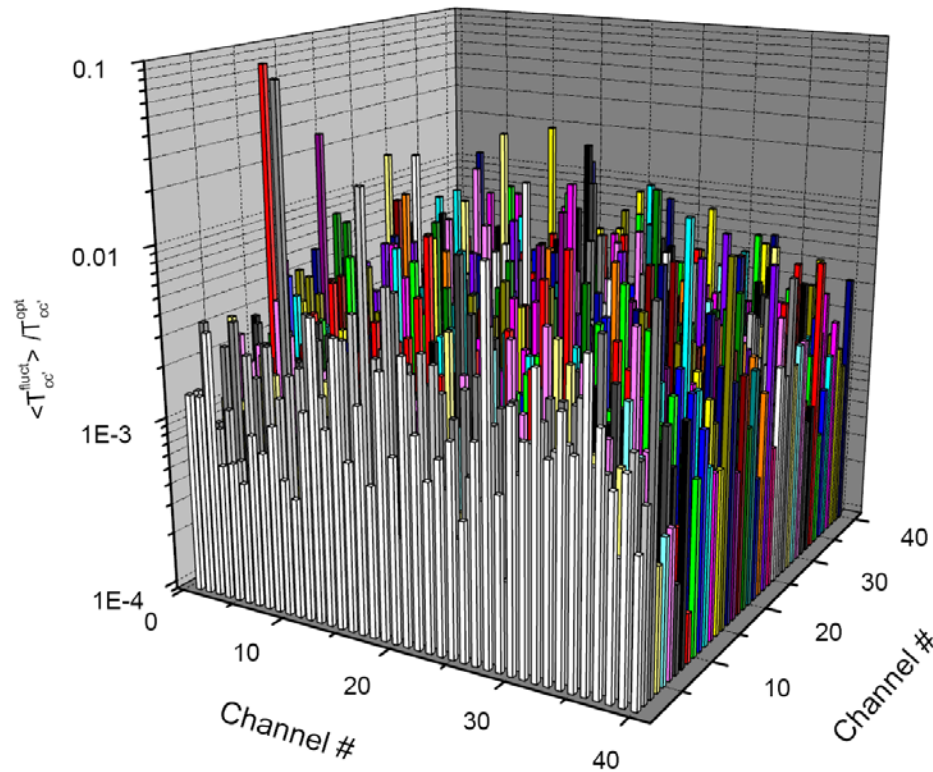
In the spirit of:  
Dagdeviren and Kerman,  
Ann. of Phys. **163** (1985) 199

## Computation parameters:

- 400 equidistant Q-levels
- 40 channels
- 20 equidistant radial points where  $H_{PQ}$  set to a Gaussian-distributed random interaction
- $E = 20$  MeV
- 100  $E'$  points for Lorentzian averaging between 18 and 22 MeV
- $I = 0.5$  MeV
- s-wave only
- $\Gamma/D \gg 1$

# Cont'd. (1,600 Q-levels)

$$\left\langle \left\langle T_{cc'}^{\text{fluct}} \right\rangle / T_{cc'}^{\text{opt}} \right\rangle = 0.0024$$



## Approximations in KKM Cross-section

$$T_{cc'} = T_{cc'}^{\text{opt}} + \frac{1}{2\pi} \sum_q \frac{g_{qc} g_{qc'}}{E - \mathcal{E}_q}$$

$$\Rightarrow \langle \sigma_{cc'}^{\text{fl}} \rangle \sim \left\langle \left| T_{cc'} - \bar{T}_{cc'} \right|^2 \right\rangle_I \sim \left\langle \sum_{qq'} \frac{g_{qc} g_{qc'}}{E - \mathcal{E}_q} \frac{g_{q'c}^* g_{q'c'}^*}{E - \mathcal{E}_{q'}^*} \right\rangle_I$$

Random Phase Hypothesis  
 $\rightarrow$  only  $q=q'$  contributes

$$\cong \left\langle \sum_q \frac{g_{qc} g_{qc'}}{E - \mathcal{E}_q} \frac{g_{qc}^* g_{qc'}^*}{E - \mathcal{E}_q^*} \right\rangle_I$$

$$\cong 2\pi \left\langle \frac{g_{qc} g_{qc'} g_{qc}^* g_{qc'}^*}{D_q \Gamma_q} \right\rangle_{q(I)}$$

$$\cong \frac{2\pi}{D_q \Gamma_q} \left\langle g_{qc} g_{qc'} g_{qc}^* g_{qc'}^* \right\rangle_{q(I)}$$

$$\cong X_{cc} X_{c'c'} + X_{cc'} X_{c'c}$$

where  $X_{cc'} \equiv \left( \frac{2\pi}{D\Gamma} \right)^{1/2} \left\langle g_{qc} g_{qc'}^* \right\rangle_{q(I)}$

Effect of KKM approximations could be studied numerically

# Conclusions and Outlook

## ● Year 2:

- Single CPU program written (C. Bertulani & G. Arbanas)
- Central result of KKM tested ([CNR\\*07 AIP Proceedings](#))

## ● Year 3:

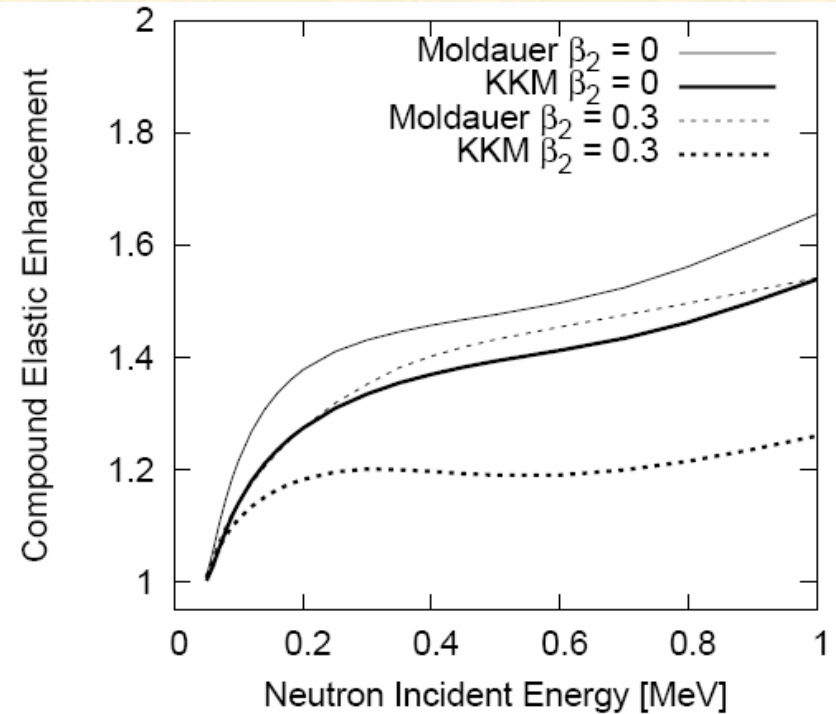
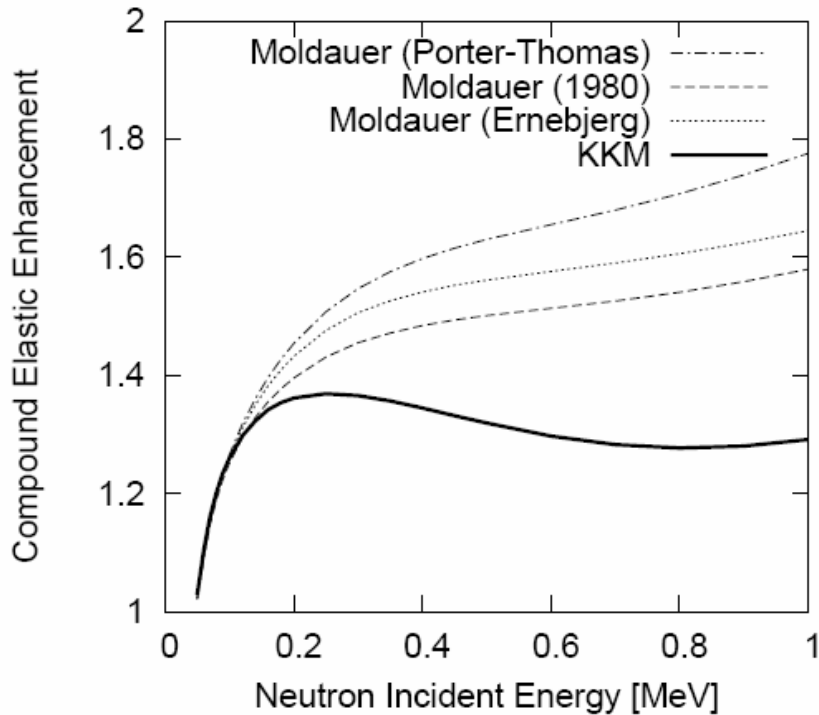
- Parallelize the code (Ken Roche)
- Tests of ALL approximations in KKM
- Would like eigenvalues of matrix size of  $10^6 \times 10^6$
- Extend to Doorway reactions,

## ● Year 4-5

- Extend the model to FKK multistep pre-equilibrium,
- Kerman-Sevgen theory (cross-section covariance)
- Study connections to RMT and Max. Entropy methods

# KKM: Enhancement factor ( $\sigma/\sigma_{HF}$ ) of KKM and Moldauer

(from Kawano, Bonneau, and Kerman, NDST 2007)



**Fig. 2.** Calculated compound elastic enhancement factors. The thick solid line is the KKM result, and the other lines are for the Moldauer calculations.

**Fig. 3.** Compound elastic enhancement factors for the spherical and strongly deformed cases. The thick lines are the KKM results, and the thin lines are for the Moldauer calculations.

CC on  $^{238}\text{U}(n,n')$  ground state rotational band  $0^+, 2^+, 4^+, 6^+, 8^+$

→ S-matrix → Transmission coefficients → X-matrix →  $\sigma_{\text{KKM}}$  vs.

$$\sigma_{cc'} = \frac{T_c T_{c'}}{\sum_a T_a} W_{cc'}$$

# KM T-matrix

For example:  
 i - deuteron  
 f - proton  
 c - neutron

$$T_{Rc} = \langle \chi_i^{(-)} | M | \chi_f^{(+)} \Psi_c^{(+)} \rangle = M_R P \Psi_c^{(+)}$$

R=(i,f)

$$P \Psi_c = \overline{P \Psi_c} + G_{\text{opt}} V_{PQ} \frac{1}{E - H_{QQ} - V_{QP} G_{\text{opt}} V_{PQ}} V_{QP} \overline{P \Psi_c}$$

$$= \overline{P \Psi_c} + \sum_q G_{\text{opt}} V_{Pq} \frac{1}{E - \epsilon_q} V_{qP} \overline{P \Psi_c}$$

$$\Rightarrow T_{Rc} = T_{Rc}^{\text{opt}} + \sum_q \frac{(M_R G_{\text{opt}} V_{Pq}) g_{qc}}{E - \epsilon_q}$$

$$= T_{Rc}^{\text{opt}} + \sum_q \frac{\mathcal{M}_{Rq} g_{qc}}{E - \epsilon_q}$$

$$= T_{Rc}^{\text{opt}} + T_{Rc}^{\text{fluct}}$$

# KM fluctuation Cross-section

$$\langle \sigma_{Rc}^{\text{fl}} \rangle \sim \left\langle \sum_{qq'} \frac{\mathcal{M}_{Rq} g_{qc}}{E - \mathcal{E}_q} \frac{\mathcal{M}_{Rq'}^* g_{q'c}^*}{E - \mathcal{E}_{q'}^*} \right\rangle_I$$

Random Phase Hypothesis

$$\cong \left\langle \sum_q \frac{\mathcal{M}_{Rq} g_{qc} \mathcal{M}_{Rq}^* g_{qc}^*}{(E - \mathcal{E}_q)(E - \mathcal{E}_q^*)} \right\rangle_I$$

Analogous to KKM

$$\cong 2\pi \left\langle \frac{\mathcal{M}_{Rq} g_{qc} \mathcal{M}_{Rq}^* g_{qc}^*}{D_q \Gamma_q} \right\rangle_{q(I)}$$

$$\cong \frac{2\pi}{D_q \Gamma_q} \left\langle \mathcal{M}_{Rq} g_{qc} \mathcal{M}_{Rq}^* g_{qc}^* \right\rangle_{q(I)}$$

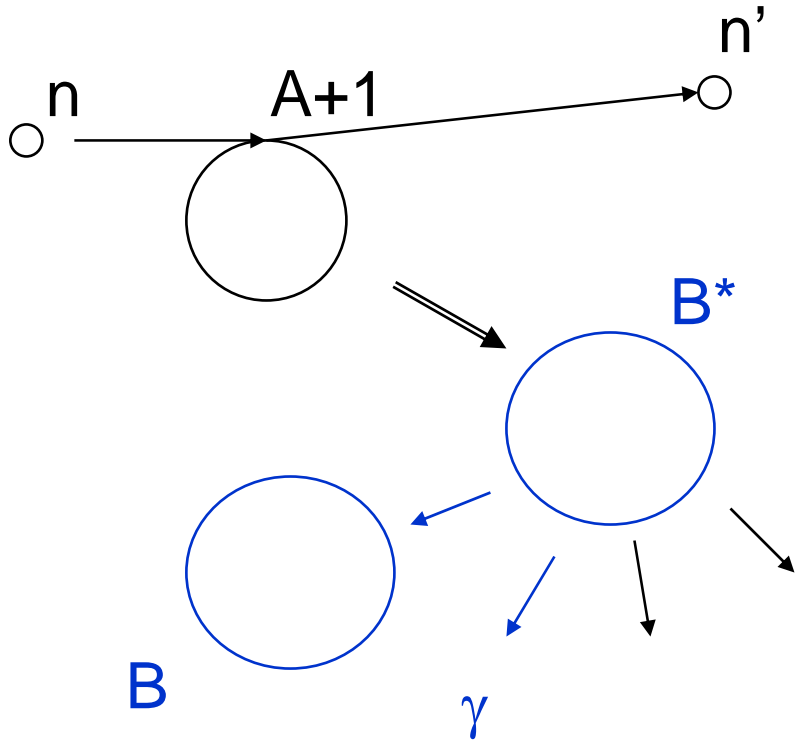
$$\cong X_{RR} X_{cc} + X_{Rc} X_{cR}$$

$$X_{RR} = \left\langle \mathcal{M}_{Rq} \mathcal{M}_{Rq}^* \right\rangle_{q(I)}$$

$$X_{Rc} = \left\langle \mathcal{M}_{Rq} g_{qc}^* \right\rangle_{q(I)}$$

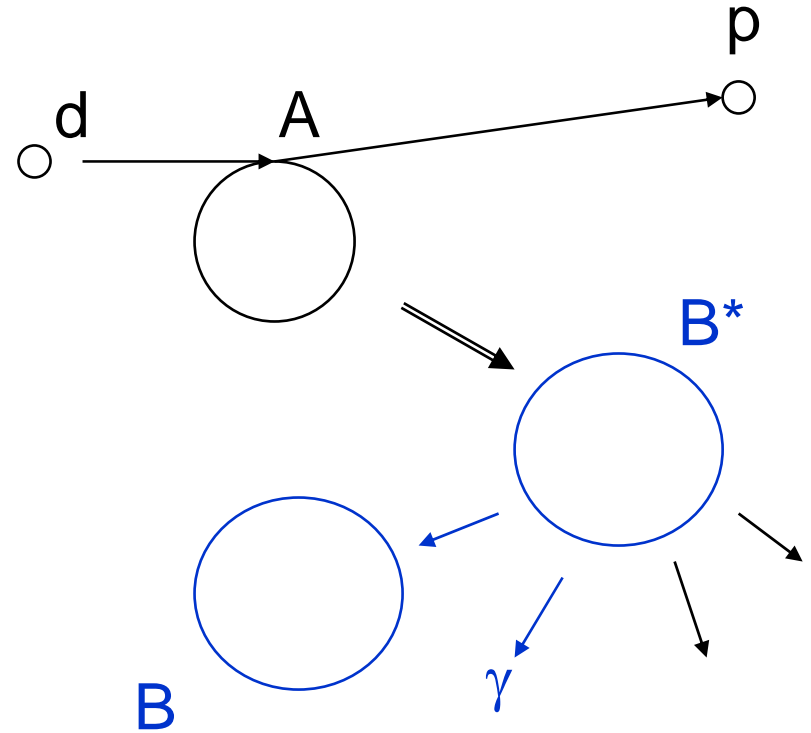
# KM applied to Surrogate Reactions

“Desired” reaction, e.g. (n,n'γ)



$$\langle \sigma_{R'c}^{fl} \rangle \cong X_{R'R'} X_{cc} + X_{R'c} X_{cR'}$$

Surrogate reaction, e.g. (d,pγ)



$$\langle \sigma_{Rc}^{fl} \rangle \cong X_{RR} X_{cc} + X_{Rc} X_{cR}$$

# Surrogate Reactions cont'd.

Desired reaction cross-section:

$$\frac{d\sigma_{\alpha\gamma}^{\text{HF}}(E_{\alpha})}{dE_{\chi}} = \sum_{J\pi} \sigma_{\alpha}^{\text{CN}}(E_{\text{ex}}, J, \pi) G_{\chi}^{\text{CN}}(E_{\text{ex}}, J, \pi) W$$

$$\alpha = (a + A)$$

$$\chi = (c + C)$$

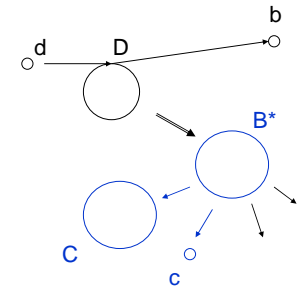
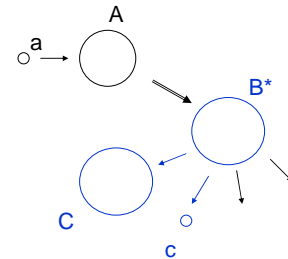
$$\delta = (d + D)$$

$$E_{\text{ex}} = S_a(B) + E_{\alpha}$$

$$= S_c(B) + E_{\chi}$$

Surrogate reaction probability:

$$P_{\delta\gamma}(E_{\text{Ex}}) = \sum_{J\pi} F_{\delta}(E_{\text{ex}}, J, \pi) G_{\chi}^{\text{CN}}(E_{\text{ex}}, J, \pi)$$



$J\pi$  distributions are likely different for the two reactions:  
complicates calculations and requires more surrogate data

$$(E - H_{PP})P\Psi = H_{PQ}\Psi \tag{1}$$

$$(E - H_{QQ})Q\Psi = H_{QP}\Psi \tag{2}$$

$$Q\Psi = \frac{1}{E - H_{QQ}} H_{QP}\Psi$$

$$(E - H_{PP} - H_{PQ} \frac{1}{E - H_{QQ}} H_{QP})P\Psi = 0 \tag{3}$$

$$(E - H_{PP} - H_{PQ} \frac{1}{E - H_{QQ} + iI} H_{QP})\overline{P\Psi} = 0$$

$$(E - H_{opt})\overline{P\Psi} = 0$$

Subtract  $H_{opt}$   
from both  
Sides of (3)

$$(E - H_{opt})P\Psi = H_{PQ} \left( \frac{1}{E - H_{QQ}} - \frac{1}{E - H_{QQ} + iI} \right) H_{QP}\Psi$$

Two-pot.  $V_1, V_2$   $\Rightarrow$   $V_{PQ} G_Q V_{QP} P\Psi$

$$V_{PQ} \equiv H_{PQ} \sqrt{\frac{iI}{E - H_{QQ} + iI}}$$

$$\begin{aligned} \text{OAK RIDGE NATIONAL LABORATORY} & \left( \frac{1}{E - H_{QQ}} - \frac{1}{E - H_{QQ} + iI} \right) = \frac{iI}{(E - H_{QQ})(E - H_{QQ} + iI)} \\ \text{U. S. DEPARTMENT OF ENERGY} & = \sqrt{\frac{iI}{E - H_{QQ} + iI}} \frac{1}{E - H_{QQ}} \sqrt{\frac{iI}{E - H_{QQ} + iI}} \end{aligned}$$

# KKM cont'd.

Separation of w.f. into average and fluctuating parts:  
(also used in KM)

$$(E - H_{opt})P\Psi = V_{PQ}G_QV_{QP}\Psi$$

Used identities:  
 $(1-x)^{-1} = 1+x+x^2+\dots$   
 $(AB)^{-1} = B^{-1}A^{-1}$   
 $X(1-YX)^{-1} = (1-XY)^{-1}X$

$$\begin{aligned}\Rightarrow P\Psi &= \overline{P\Psi} + G_{opt}V_{PQ}G_QV_{QP}\Psi \\ &= \overline{P\Psi} + G_{opt}V_{PQ}G_QV_{QP} \left[ 1 + G_{opt}V_{PQ}G_QV_{QP} + \dots \right] \overline{P\Psi} \\ &= \overline{P\Psi} + G_{opt}V_{PQ}G_QV_{QP} \frac{1}{1 - G_{opt}V_{PQ}G_QV_{QP}} \overline{P\Psi} \\ &= \overline{P\Psi} + G_{opt}V_{PQ}G_Q \frac{1}{1 - V_{QP}G_{opt}V_{PQ}G_Q} V_{QP} \overline{P\Psi} \\ &= \overline{P\Psi} + G_{opt}V_{PQ} \frac{1}{E - H_{QQ} - V_{QP}G_{opt}V_{PQ}} V_{QP} \overline{P\Psi}\end{aligned}$$